

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shigehiro YAMAGUCHI et al.

Application No.: 10/578,352 Group: 1621

Filing Date: December 29, 2008 Examiner: N/A

For: POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL

**ATTENTION:** **Refund Section  
Accounting Division  
OFFICE OF FINANCE**

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Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

July 23, 2009

**REQUEST FOR A REFUND**

Sir:

Applicants request a refund of the \$440 additional independent claims fee and the \$130 fee for a late filing of the English Translation charged to our deposit account number 08-0750 on January 26, 2009. Applicants submit that this application was filed in English on May 5, 2006 and further that an excess independent claims fee of \$2600 was also paid at the time of filing of the application on May 5, 2006.

DOCUMENTATION

Attached hereto is a copy of the Application Transmittal filed May 5, 2006 showing fees paid including the \$2600 excess independent claims fee. Also enclosed is a copy of check #21057 for \$3630

REMARKS

Applicants hereby request a refund of \$570 in view of the above remarks and documentation.

It is respectfully requested that the excess fee of **\$570** be credited to Deposit Account No. **08-0750**.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

By:   
Donald J. Daley  
Reg. No. 34,313

DJD/clc

P.O. Box 8910  
Reston, VA 20195  
(703) 668-8000

**HARNESS, Dickey & PIERCE, P.L.C.**

ATTORNEYS AND COUNSELORS

11730 PLAZA AMERICA DRIVE, SUITE 600

RESTON, VA 20190

SUNTRUST BANK

21057

65-270/550

THREE THOUSAND SIX HUNDRED THIRTY AND 00/100 DOLLARS

NUMBER

DATE

AMOUNT

21057

05/05/2006

\$\*\*\*\*\*3,630.00

VOID AFTER 90 DAYS

**DIRECTOR OF THE U.S. PATENT AND TRADEMARK OFFICE**

12480-UUU179/US

*(Signature)*

MP

AUTHORIZED SIGNATURES

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

#021057# :055002707: 704530562#

Applicant:	Shigeiro YAMAGUCHI	Case No.:	12480-UUU179/US
Serial No.:	New Application	Filing Date:	May 5, 2006
Title:	POLYCYCLIC FUSED RING TYPE $\pi$ -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE $\pi$ -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE $\pi$ -CONJUGATED ORGANIC MATERIAL		
Please acknowledge receipt of: Check #21057 for \$3630.00 PCT Transmittal Preliminary Amendment Information Disclosure Statement PTO-1449 Form Listing 4 & Submitting 3 Ref.'s, ISR PCT Forms International Application as filed English Translation of Int'l App as filed (67 pages) Formal Drawing (1 sheet)			
By stamping and returning to Harness, Dickey & Pierce, P.L.C.		USPTO Date Stamp	
Due:	05/07/2006	Attorney:	DJD/jap
		FILED: 05/05/2006	

NEW

EXPRESS MAIL LABEL NO.

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP2004/016433	November 5, 2004	November 7, 2003 and July 30, 2004

## TITLE OF INVENTION

POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE  $\pi$ -CONJUGATED ORGANIC MATERIAL

## APPLICANT(S) FOR DO/EO/US

Shigehiro YAMAGUCHI and Caihong XU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4.  The US has been elected by the expiration of 19 months from the priority date (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a.  is transmitted herewith.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4)
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 20. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98-1449, International Search Report (PCT/ISA/210) in English and PTO Form 1449 listing 4 & submitting 3 Ref.'s, ISR
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.
14.  A SECOND or SUBSEQUENT preliminary amendment.
15.  A substitute specification.
16.  A change of power of attorney and/or address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information: 1 sheet of Formal Drawings, PCT Forms (PCT/RO/101), (PCT/ISA/237), (PCT/IB/304) and (PCT/IB/308)

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER	
NEW	PCT/JP2004/016433	12480-000179/US	
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
<b>PCT FEES – NATIONAL STAGE:</b>			
<u>Fee Code</u>	<u>Description</u>	<u>Fee</u>	
1631	Basic National Stage Fee.....	\$300.00	
1632	National Stage Search Fee.....	\$400.00	
1633	(Search report prepared and provided to USPTO) National Stage Examination Fee.....	\$200.00	
<b>ENTER APPROPRIATE FEE AMOUNT =</b>		\$ 900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00	
<b>PAGES</b>	<b>PAGES FILED</b>	<b>EXTRA PAGES FILED IN 50 PAGE SEGMENTS</b>	<b>RATE</b>
Total Pages	- 100 =		X 250.00 \$
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
Total Claims	20 - 20 =	0	X \$50.00 \$
Independent Claims	16 - 3 =	13	X \$200.00 \$ 2600.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 3630.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$	
<b>SUBTOTAL =</b>		\$ 3630.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
<b>TOTAL NATIONAL FEE =</b>		\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
<b>TOTAL FEES ENCLOSED =</b>		\$ 3630.00	
		Amount to be: refunded	\$
		charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$3630.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 08-0750 in the amount of \$ <u>            </u> to cover the above fees. A triplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u>.</p>			
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>			
<p>Send all correspondence to:  <b>Harness, Dickey &amp; Pierce, P.L.C – Customer No. 30593          Post Office Box 8910          Reston, Virginia 20195</b></p>			
<p>Date: May 5, 2006</p>			
<p>By           Donald J. Daley, Reg. No. 34,313</p>			
<p>DJD/jap</p>			